## **COMMON APPLICATION FORM**

Please read the instructions carefully before filling up the form. (All points marked \* are mandatory)



۸ı	l cartions o	should be	filled in RI	ACK/BLUE	coloured ink and	in BLOCK LETTERS
41	i sections s	snoula be	milea in bi	AUN/DLUE	coloured ink and	IN BLUCK LETTERS

Application No.

1. DISTRIBU	ITOR IN	FORMATION															
ARN/RIA Code/I Manager's Regi (PMRN) <sup>#</sup>	istration	Sub Broker's	ARN		ernal Code for roker/ Employ	90		nployee L cation Nu		UIN)		Se		OFFICE Registra Date an	r/ Bank	NLY of Receip	
ARN-		ARN-					dividual ARN ionship Mana					r)					
Declaration for "exec "execution-only" trans	ution-only" to saction witho	/We authorize you to si ransaction (only where ut any interaction or ad ales/sub broker of the c	EUIN box vice by the	is left blank) ( employee/rela	(Refer Instruction	on No.İl(10)). – er/sales person	I/We hereby of the above	confirm th	nat the El or notwith	UIN bo	x has bee	en intent					
Signature	of First/Sole	Unit Holder/Guardian	1		Signature of	of Second Unit	Holder				Sign	ature of	Third Un	nit Holder			
TRANSACTIO (Please ✓ any or (Refer Instruction	ne of the belo		Applicable	for transaction	stor in mutual funs routed through	gh a distributor	who has 'opt	ed in' for to	ransactio	n charç	ges. Upfro	ont comm	nission s	shall be p	aid direc	tly by	
2. APPLICAI	NT'S DE	TAILS						N	ODE OF	OPEF	RATION		Sing Joint	le 🗌 t (Default	•	or Survivo s Joint)	
Folio No.				(For existing	ng Unitholders)			*R	equire Ph	hysical	Copy of A	Annual R	eport	Yes	; <u> </u>	lo	
		hall receive the Annua rt or an abridged sumr					ements / stat	utory and	other doc	cument	s by emai	il. Howe	er, if the	investo	rs wish to	receive	
1st APPLICANT N	NAME* (As	per PAN) Mr. M	Is. M/S	•		•											
PAN/PEKRN*				CKYC No.							Date of I		D D	M N	I Y	YY	Υ
E-Mail ID		Р	Please Spec	cify in BLOCK	LETTERS					Mobile	e No.						
This Mobile Numbe This Email-ID belon	•	`	f Spou		dent Children [	Dependent Dependent	_	Depender Depender			Guardian Duardian	POA	=	stodian (		• -	
LEGAL ENTITY IDE	•													otodiaii (		Jy/	_
		⊔ual investor including h Individual please attac					LEI no. is ma	andatory fo	or transac	ction a	mount Rs	. 50 crs	and abo	ve for No	n-Individ	uals)	
		FIRST APPLICANT IS					HOLDER (F	OR NON-	INDIVIDU	JALS I	NVESTO	RS)					_
Name											Date of	of Birth	D D	) M	М	Y	Υ
Guardian's Relation		nor - ourt Appointed Guardi	an			PAN/PEKRN*							X	X	$\langle  X  \rangle$	X X	X
Proof of Date of Bir	rth of Minor -	_		(Pleas	e specify)	CKYC No.										X X	X
2 <sup>nd</sup> APPLICANT*	Mr.	Ms. M/S															Ħ
PAN/PEKRN*				CKYC No.							Date of	f Birth	D D	MN	Y	YY	Υ
E-Mail ID		Р	lease Spec	cify in BLOCK	LETTERS					Mobile	No.						ī
This Mobile Numbe This Email-ID belon	_		f Spou		dent Children [ dent Children [	Dependent Dependent		Depender Depender			Guardian Guardian	_		stodian (		• .	
3 <sup>rd</sup> APPLICANT*	Mr.	Ms. M/S															ī
PAN/PEKRN*				CKYC No.							Date of	f Birth	D D	M	I Y	YY	Υ
E-Mail ID		Р	lease Spec	cify in BLOCK	LETTERS					Mobile	No.						ī
This Mobile Numbe This Email-ID belon	•		f Spou	_	dent Children [ dent Children [	Dependent Dependent		Depender Depender		_	Guardian Guardian	POA	_	stodian (		• /	
All communicati	ions will be s	ent by default to the re	egistered E-	-mail ID / Mobi	ile No. In case	ou wish to rec	eive physical	communic	cation (Pl	ease 🗸	here)		Trees	are gree	n gold –	Save Tree	s
0		CLID /Ta ba fillas						cation No						] <b>[</b>		 FRUST	
	GIVICINI	SLIP (To be filled	ın by th	ie irivestor)			Vhhii	oddoll 140	"					1		MUTU FUND	4L
Scheme Name					Plan			Option								LEAR • CREDIBLE • CO	ISTENT
Amount			Che	eque/ DD No.	. 1411		Date		D M	M Y	/ Y	YY		Stam	p & Sign	ature	
Bank & Branch Deta	ails																

3. CORRESPOND	ENCE DETAILS	S OF SOLE/FIRST A	PPLICANT (AS PE	R KYC RECORDS	)	
Correspondence Address				Overseas Address (Man	datory for NRI / FII Applicants)	
	HOUS	SE / FLAT NO.			HOUSE / FLAT NO.	
	STREE	ETADDRESS			STREET ADDRESS	
CITY / TO	VN	STA	TE	CITY /	TOWN	STATE
	COUNTRY		PINCODE	C	DUNTRY	ZIPQODE
				TIN No. (Mandatory)		
4. TAX STATUS (P	lease tick 🗸					
Resident Individual	Foreign National	Public Limited C	company Govern	nment Body AOP	/BOI	Defence Establishment
Guardian	Sole Proprietorsh				t / Society / NGO/ Charities	Person of Indian Origin
HUF	Partnership Firm		FII		*(FCRA A/c. No)	NRI-NRE
PSU *"Non-profit organization" me	Bank ans anv entity or org	Foreign Portfolio  anisation, constituted for relig	_	_	,,	(43 of 1961), that is registere
					of section 2 of the Income-tax Act, 1961 the section 8 of the Companies Act, 2013 (	18 of 2013).
We are falling under "Non-tax Act, 1961 (43 of 1961), registered under the section	and is registered as	a trust or a society under the	Ited for religious or charitable Societies Registration Act,	le purposes referred to in 1860 (21 of 1860) or any	clause (15) of section 2 of the Income- similar State legislation or a Company	□ Yes □ No
If yes, please quote Regist If not, please register immedia	•					
5. DEMAT ACCOU	NT DETAILS (F	Please ensure that the sequen	ce of names as mentioned in	the application form match	nes with that of the Demat Account held with	ı your Depository Participant)
Do you want units in Demat F	orm (Please tick ✓)	Yes No (if yes, plea	ase provide the below detail	s) <sup>SS</sup>		
Depository Participant's N	ame:					
NSDL: Depository Participar	t (DP) ID (NSDL only	/) Beneficiar	ry Account Number (NSDL	only)	CDSL: Depository Participant (DP)	ID (CDSL only)
Enclosure (Please tick any be	ox) Client	t Master List (CML)	ansaction cum holding Statem	nent Cancelled Deliv	rery Transaction Slip (DIS)	
					stated in the Application Form. of Additional Information and Scheme Inform	ation document for details.
6. BANK DETAILS	(MANDATOR)	<b>(</b> )				
Mandatory information - If lef in case the pay-out bank acco			ndatory to attach proof i.e. C	Cancelled cheque with name	ne pre-printed/Bank Statement with the late	est Transactions of two month
Account Number				Account Type  Currer	nt Savings NRO NRE FC	NR Others (please specification)
Bank Name & Branch						
Branch City			IFSC Code		MICR Code	
7 FATCA AND CR	S DETAILS - E	or Individuals [Inclu	ıding Sole Propriet	orl (MANDATORY	7	
		parate FATCA Form (Annexure		- 1	,	
•	•	or tax) in any other country o		"	,	
II TES piease IIII for ALL cou	*	· · · · · · · · · · · · · · · · · · ·		•	ent/ Green Card holder/ Tax Resident in th  Country of Citizenship / Nati	
First Applicant / Guardian	i iac		- Country of L		Indian U.S. Others	
Second Applicant					Indian U.S. Others	
Third Applicant					Indian U.S. Others	
	Country	y of Tax Residency	Tax Identification or Functional Ed		Identification Type TIN or other please spec	i6./)
First Applicant / Guardian			OF FUNCTIONAL EC		easons A B	<u>ггу)</u> С
Second Applicant					easons A B	C
Third Applicant					easons A B	C
☐ Reason A → The o	•	count Holder is liable pay to ta ence do not require the TIN to		fication Number to its resid	dents ☐ Reason B → No TIN requi	
	or tax rootat		11 2000.00/ 11.00.00			
Address Typ	e of Sole /1st Holde	r	Address Type of 2nd	d Holder	Address Type of 3rd	l Holder
Residential R	egistered Office	Business Re	esidential Registered	Office Business	Residential Registere	d Office Business
Annexure 1A (Form for Addit Legal Entity) are available on t	ional KYC, FATCA & ne website of AMC i.e.	CRS Annexure for Individual	Accounts) and 1B (Form the ficial Point of Acceptance of The ficial Point	for Ultimate Beneficial Ow FRUST MF.	ner including additional FATCA & CRS in	formation - for Non-Individua
·····×						·····×····×
	Toll F	Free Number	Email	ID	Website	

Toll Free Number Email ID Website

1800 267 7878 investor.service@trustmf.com www.trustmf.com

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

8. F	8. KYC DETAILS (MANDATORY)															
OCCUP	ATION [Please tick ( 🗸	<b>)</b> ]														
		Service	Government Official	Business	Professional	Agriculturi	ist Retired	Housewife	Student	Forex Dealer	Unlisted Company	Listed Company	Private Ltd.	Public Ltd.	Othe	ers
First A	pplicant / Guardian														(Please	specify)
Secon	d Applicant														(Please	specify)
Third /	Applicant														(Please	specify)
GROSS	ANNUAL INCOME [PI	ease tick (	<b>√</b> )]													
First A	pplicant / Guardian	For Individu	ual 🗌 Bel	ow 1 Lac	1-5 La	acs	5-10 Lacs	10-2	5 Lacs	>25 L	acs - 1 crore	>	1 crore			
		OR Net wo	rth (Mandator	y for Non-Ir	ndividuals) ₹				as on	D D	M M	YY	Y	(Not o	older than 1 y	ear)
9. F	PEP DETAILS** (	MANDA	TORY)													
						1st Appl	icant	7	2nd Applica	ant		Brd Applica	ant		Guard	ian
Are yo	u Politically Exposed P	erson? (PEF	P)			Yes [	No		Yes 🗌	No		Yes 🗌	No		Yes	☐ No
Are yo	u related to a Politically	Exposed P	erson? (PEP)	)**		Yes [	No		Yes _	No		Yes 🗌	No		Yes	☐ No
For No	n-Individuals (Compan	ies, Trust,	Partnership	etc.) (Plea	se tick √ )											
	oreign Exchange / Mon				ng / Lottery /			Money Len			Not Appli					
	ally Exposed Persons (I overnment/judicial/milita									n a foreig	n country, e.	g., Heads o	of States of	or of Gov	ernments, se	nior politicians,
	INVESTMENT &	•								-ontions	and Divid	land from	mency)			
	tiple Investments Cheq			•										e Investr	nent Cheque	/DD should be
drawn in	n favour of "Scheme nar															
Sr. No.	Chequ	e/ DD Favo	uring			Pla [Regular		IDCW Rein	ption [Grov vestment/ I		out]	IDCW Fre	quency		Amo	ount
1	TRUSTMF															
2	TRUSTMF															
3	TRUSTMF															
то	ΓAL				'		(In Words)				'				(In fig	ures)
PAY	MENT DETAILS															
☐ Pa	yment by Self	ird Party Pa	yment (Pleas	e attach 'Th	nird Party Pa	yment Decl	aration Form	') Mod	e of Payme	ent 🗌 C	Cheque 🗌	DD 🗌 F	unds Tran	nsfer	NEFT 🔲 I	RTGS
Cheque	/ DD/ UTR No.								A/c No.							
Drawn	on Bank & Branch				Chequ	ue Date	D M	M Y Y	A/c. Type (	( <b>✓</b> ) □ S	B Curre	nt 🗌 NR	O NR	E _ FC	NR Othe	rs
11.	NOMINATION D	ETAILS*	(Mandat	orv)												
	e wish to nominate as u															
Sr. No.	Name of	Nominee			Share of e		elationship ith Investor		ee Date of ry for Minor N			ardian Na case of Mi			Guardia Signatu	-
1					- Itominioo (	(70)		(Mandato	y lor millor it	ommiccy	(	0000 01 1111			Oignate	
2																
3																
I/W	e DO NOT wish to nomi	nate.						-								
Mutual I	ation for opting out of I Fund units held in my/ou eed to submit all the requ	r Mutual Fur	nd folio and ur	nderstand th	ne issues invo	olved in non	-appointmen	t of nominee	(s)and furth	er are awa	are that in ca	se of death				
12.	DECLARATION	& SIGNA	ATURE(S)			•										
I/We had and deconsent hereby   Registration scheme further a and assign Non Res	12. DECLARATION & SIGNATURE(S)  /We have read, understood the terms and conditions of the scheme related documents and agree to comply with the same as an Unitholder. I /We hereby apply for allotment of Units of the Scheme(s) and confirm and declare as under: (a) I/We am/are eligible Investor(s) as per the scheme related documents and not prohibited by any order/ruling /judgement passed by any Statutory Authority. The amount invested in the Scheme(s) is through legitimate sources only and is not for the purpose of contravention and/or evasion of any act, rules, regulations, notifications or directions issued by any regulatory authority in India. I/We hereby consent for providing transactions data feed, portfolio holdings, NAV etc. in respect of my/our transactions under Direct Plan to the RIA/Portfolio Managers registered in the concerned folio, if applicable. (b) I/We hereby provide my/our consent to TRUST Asset Management Private Limited for (i) collecting, / sharing (ii) validating/authenticating with Unique Identification Authority of India ("UIDAI") by itself or through its Registrar and Transfer Agent ("RTA"); and (ii) downloading and updating my/our Aadhaar number(s) and associated demographic information (including updated information) in my/our accounts/folios under the scheme, based on my/our lncome Tax Permanent Account Number ("PAN") in accordance with the Aadhaar Act, 2016, PMLA and rules & regulations made thereunder and applicable SEBI guidelines. I/We hereby urther authorise TRUST MF /AMC for sharing/disclosing of the Aadhaar number(s) and associated demographic information (including any updated information) by itself or through its RTA, depository participants, and asset management companies of other SEBI registered mutual funds, and their RTAs, for the purpose of updating the same in my/our accounts/folios based on my/our PAN. (c) I/We confirm that I am /we are Non Resident Indians / Person(s) of Indian Origin / Foreign Portfolio Investors but not (i) United States persons as per applicable R															

through approved banking channels or from funds in my/ our Non-Resident External / Non-Resident Ordinary / FCNR Account maintained in accordance with applicable RBI guidelines. (d) 1 he information given by me /us in or along with this application form is true and correct and I/We agree to furnish such other further/additional information as may be required by the TRUST MF/AMC. I/We undertake to promptly inform the TRUST MF/AMC Registrars and Transfer Agent (RTA) in writing about any change in the information furnished by me/us from time to time. (e) I/We shall be liable and responsible for any loss, claims suffered, directly or indirectly by TRUST MF/AMC/RTA/SEBI Intermediaries, arising out of any false, misleading, inaccurate and incomplete information furnished by me/us at the time or investing/redeeming the units. I/We hereby unconditionally and irrevocably indemnify and at all time keep indemnified, save and harmless TRUST MF/AMC/ Trustee and their officers, directors and employees against all actions, proceedings, claims, losses, damages, charges and expenses incurred or suffered /paid by TRUST MF/AMC in this regard and in case of any dispute regarding the eligibility, validity and authorization of my/our transactions. (f) The ARN holder (AMFI registered Distributor) has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us. (g) I/We hereby confirm that I/We have not been offered/ communicated any indicative portfolio and/ or any indicative yield by the TRUST MF/AMC is a mended from time to time. prescribed by the TRUST MF /AMC as amended from time to time.

	Second Applicant	Third Applicant
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#### **CHECKLIST FOR DOCUMENTATION**

Please submit the following documents with your application (where applicable)

	Documents	Individuals	NRIs	Minors	^Companies/ Body Corporates	^Trusts	^Societies	^HUF	^Partnership	^FPIs	^IIP/ Flis*	Investments through Constituted Attorney
1.	Certificate of Incorporation/ Registration				✓	✓			✓	✓	✓	
2.	Resolution/ Authorization to invest				✓	<b>√</b>	✓		✓	✓	✓	
3.	List of Authorized Signatories with Specimen Signature(s) <sup>®</sup>			✓	✓	<b>✓</b>		✓	<b>√</b>	<b>√</b>	✓	
4.	Memorandum & Articles of Association				✓							
5.	Trust Deed					✓					✓	
6.	Bye-Laws						✓					
7.	Partnership Deed/ Deed of Declaration							✓	✓			
8.	Notarized Power of Attorney											✓
9.	Proof of PAN (including for guardian)	✓ #	✓	<b>√</b> #	✓	✓	✓	✓	✓	✓	✓	✓
10.	Proof of KYC/ CKYC - KIN number	✓ #	✓	<b>√</b> #	✓	✓	✓	✓	✓	✓	✓	✓
11	Proof of Aadhaar Card (Optional)	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
12.	Overseas Auditor's Certificate (applicable for DTAA)		✓							<b>√</b>		
13.	Foreign Inward Remittance Certificate		✓							✓		
14.	Date of Birth Certificate or School Living Certificate or Passport of Minor			<b>✓</b>								
15.	Document evidencing relationship with Guardian			✓								
16.	Declaration for Identification of Beneficial ownership			✓	✓	<b>√</b>		✓	✓	✓		
17.	FATCA/CRS	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	

All documents for entities above should be originals/ true copies certified by the Director/ Trustee/ Company Secretary/ Authorized Signatory/ Notary Public.

#### INSTRUCTIONS TO UNIT HOLDERS TO FILL THE APPLICATION FORM

#### General Instruction

Please read the Key Information Memorandum/Scheme Information Document of the Scheme and Statement of Additional Information and addendum issued from time to time carefully before investing in the Scheme. Unit holders are requested to read and acquaint themselves about the prevailing Load structure on the date of submitting the Application Form.

Upon signing and submitting the Application Form and tendering payment it will be deemed that the unit holders have accepted, agreed to and shall comply with the terms and conditions detailed in the Scheme Documents. Applications complete in all respects, may be submitted at the Official Points of Acceptance of TRUST Mutual Fund /TRUST Asset Management Private Company (TAMPL).

The Application Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant options wherever applicable. Do not overwrite. For any correction/ changes if made in the Application Form, the Applicant(s) shall enter the correct details pursuant to cancellation of incorrect details and authenticate the corrected details by counter-signing against the changes. Applications incomplete in any respect are liable to be rejected. TAMPL / TRUST AMC Trustee Company Private Limited have absolute discretion to reject such Application Forms.

#### II. Application Information

- Name should be given in full without any abbreviations. Preferably write exactly as it appears in your PAN or as it appears in the incorporation document as the case may be.
- Name, Date of birth of the Minor, Name of Parent/Legal Guardian and relationship with minor is mandatory for investment on behalf of Minor applicant.
- Name of the contact person, email and telephone no. should be mentioned in case of investments by Company, Body Corporate, Trust, Society, FII and other non-individual applicants.
- 4. The signature should be in English or in any of the Indian languages. Thumb Impressions must be attested by a magistrate or a notary public or a special executive magistrate under his/her official seal. Application by minor should be signed by the guardian. In case of HUF, the Karta should sign on behalf of the HUF.
- In case an unit holder opts to hold the Units in demat form, the applicant(s) details mentioned in Section 2, should be the same as appearing in demat account held with the investor's Depository Participant.
- The Official Point of Acceptance Center/Collection Center will affix time stamp/manual stamp and return the acknowledgement slip from the application form, to acknowledge receipt of the Application. No separate receipt will be issued for the application money.
- 7. Please fill in all the fields to prevent rejection of your Application Form. Please refer to the checklist provided herein to ensure that the necessary details and attachments are made available. The application complete in all respects along with the cheque/fund transfer instructions must be submitted to the nearest Official Point of Acceptance/Collection Centre. Applications which are incomplete, invalid in any respect or not accompanied by cheque or fund transfer instructions for the amount payable are liable to be rejected.
- Existing investors can write the Folio number on the reverse of the cheques accompanying the Application Form.
- Direct Application: Unit holders subscribing under Direct Plan of the scheme will have to indicate
  "Direct Plan" against the scheme name in the application. Unit holders should also indicate
  "Direct" in the ARN column of the application form. However, in case Distributor code is mentioned

- in the application form, but "Direct Plan" is indicated against the scheme name, the application will be processed under Direct Plan. Further, where application is received for Existing Plan without Distributor code or "Direct" mentioned in the ARN Column, the application will be processed under Direct Plan.
- 10. Employee Unique Identification Number (EUIN): SEBI has made it compulsory for every employee/relationship manager/sales person of the distributor of Mutual Fund products to quote the EUIN obtained by him/her from AMFI in the Application Form. EUIN, particularly in advisory transactions, would assist in addressing any instance of mis-selling even if the employee/relationship manager/ sales person later leaves the employment of the distributor. Individual ARN holders including senior citizens distributing Mutual Fund products are also required to obtain and quote EUIN in the Application Form. Hence, if your investments are roued through a distributor please ensure that the EUIN is correctly filled up in the Application Form.
  - However, if your distributor has not given you any advice pertaining to the investment, the EUIN box may be left blank. In this case, you are required to provide a duly signed declaration to this effect. Distributors are advised to ensure that the sub broker affixes his/ her ARN code in the column separately provided in addition to the current practice of affixing the internal code issued by the main ARN holder and the EUIN of the Sales Person (if any) in the EUIN space.
- In case of NRI investment, complete postal address should be stated. P.O. Box address alone is
  not sufficient. NRIs/FIIs should necessarily state their overseas address failing which application
  may be rejected. In addition, Indian address should be stated for correspondence.
  - The physical application form(s) for transactions (in non-demat mode) from such U.S. person will be accepted only at the Official Point of Acceptance of transactions of the Fund in India. Additionally, such transactions in physical application form(s) will also be accepted through Distributors of the AMC and other platforms in India, subject to receipt of such additional documents/undertakings, etc., as may be stipulated by the AMC/Trustee from time to time.
- 12. Investment through constituted Attorney should necessarily be signed by the constituted Power of Attorney holder.
- 13. In case of application by minor, they shall only be the sole unit holder in a folio. Joint holding is not allowed. Details of the natural parent viz., father or mother or court appointed legal Guardian must be mentioned for investments made on behalf of a minor. Please fill the parent's name in case of first applicant and date of birth of all unit holders. Payment for investment by means of Cheque, Demand Draft or any other mode shall be accepted from the bank account of the minor or from a joint account of the minor with the guardian only.
- 14. As per AMFI Circular No. 135/BP/77/2018-19, email id and Mobile Number of the Primary Unit Holder of the Folio to be provided. In cases where the email address/mobile No. is not provided in the application form, the email address/mobile no. of the first applicant as per the KYC data will be taken as the email address/mobile No. The email address of one unit holder shall not be allowed/updated against folios of other/multiple unit holders, unless a specific written request is received in this regard, duly signed by the unit holders in such folios belong to the same family (applicable in respect of individual unit holders only).
  - 'Family' for this purpose shall mean self, spouse, dependent children, dependent parents as specified in SEBI Circular No. CIR/MIRSD/15/2011 dated Aug 02, 2011, addressed to all Stock Exchanges.
- 15. In case, if the application has more than one unit holder and the mode of holding is not specified in the application form, the default option for holding would be considered to be "Joint". However, in

<sup>&</sup>lt;sup>®</sup> Should be original or true copy certified by the Director/ Trustee/ Company Secretary/ Authorized Signatory/ Notary Public, as applicable.

<sup>\*</sup> For FIIs, copy of SEBI registration certificate should be provided.

<sup>#</sup> If PAN/ PEKRN/ KYC proof of Minor is not available, PAN/ PEKRN/ KYC proof of Guardian should be provided.

<sup>^</sup> Existing Mutual Fund investor who are KYC compliant, the above mentioned documents are not required. Board Resolution & Authorised Signatory List with speciman signatures is mandatory.

all such cases, communications, proceeds of all IDCW/redemption will be paid to the first named holder

 Legal Entity Identifier (LEI) - The Legal Entity Identifier (LEI) is a 20-digit number used to uniquely identify parties to financial transactions worldwide.

The LEI system has been introduced for all payment transactions of value INR 50 crore and above undertaken by entities (non-individuals) using Reserve Bank-run Centralised Payment Systems viz. Real Time Gross Settlement (RTGS) and National Electronic Funds Transfer (NEFT).

The circular states that "Entities can obtain LEI from any of the Local Operating Units (LOUs) accredited by the Global Legal Entity Identifier Foundation (GLEIF), the body tasked to support the implementation and use of LEI. In India, LEI can be obtained from Legal Entity Identifier India Ltd. (LEIL) (https://www.ccilindia-lei.co.in), which is also recognized as an issuer of LEI by the Reserve Bank under the Payment and Settlement Systems Act, 2007"...

#### III. FATCA & CRS details

The Central Board of Direct Taxes has notified Rules 114F to 114H, as part of the Income tax Rules, 1962, which require Indian financial institutions to seek additional personal, tax and beneficial owner information and certain certifications and documentation from all our unit holders. In relevant cases, information will have to be reported to tax authorities/appointed agencies. Towards compliance, we may also be required to provide information to any institutions such as withholding agents for the purpose of ensuring appropriate withholding from the folio(s) or any proceeds in relation thereto. Should there be any change in any information provided by you, please ensure you advise us promptly, i.e., within 30 days. Please note that you may receive more than one request for information if you have multiple relationships with us or our group entities. Therefore, it is important that you respond to our request, even if you believe you have already supplied any previously requested information. If you have any questions about your tax residency, please contact your tax advisor. Further if you are a Citizen or resident or gene cardholder or tax resident other than India, please included all such countries in the tax resident country information field along with your Tax Identification Number or any other relevant reference ID/Number. If no TIN is yet available or has not yet been issued, please provide an explanation and attach this to the form. The Fund/AMC reserves the right to reject any application or compulsorily redeem the units held directly or beneficially in case the applicant/unit holder fails to furnish the relevant information and/or documentation or is found to be holding units in contravention of the FATCA provisions.

#### IV. Implementation of Aadhaar & PAN requirements

It is mandatory for all unit holders to quote their Permanent Account Number (PAN) (except MICRO SIP Investments) and submit certified copy of the PAN card issued by the Income Tax Department, irrespective of the amount of investment, while making an application for Purchase of Units. In case of joint holding, PAN details of all holders should be submitted. In case the application is on behalf of minor, PAN details of the Guardian must be submitted.

Implementation of the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017 with respect to seeding of Aadhaar number:

The Ministry of Finance (Department of Revenue) in consultation with the Reserve Bank of India has made certain amendments to the Prevention of Money-laundering (Maintenance of Records) Rules, 2005, namely, the Prevention of Money-laundering (Maintenance of Records) Second Amendment Rules, 2017. These Rules have come into force with effect from June 1, 2017. These Rules, inter alia, make it mandatory for investors to submit Aadhaar number issued by the Unique Identification Authority of India (UIDAI) in respect of their investments. However, the date for mandatory submission of Aadhaar in respect for accounts/folios of a new investor has been deferred by an order passed by Hon'ble Supreme Court. Further, pursuant to the notification on Prevention of Money-laundering (Maintenance of Records) Amendment Rules, 2019, Aadhaar can be accepted as a valid document for proof of address or proof of identity, provided the investor redact or blackout their Aadhaar number while submitting the applications for investments. The said guidelines may change from time to time.

For more details kindly refer SAI.

Unit holders residing in the state of Sikkim are exempt from the mandatory requirement of PAN proof submission. However sufficient documentary evidence shall have to be submitted for verifying that they are residents of the State of Sikkim. Applications without the aforesaid details are liable to be rejected without any reference to the unit holders.

#### For NRIs/ Residents of Assam and Meghalaya States

- 1. If PAN is not submitted, following documents to be submitted
- $2. \quad \text{Any one Officially Valid Document (OVD) containing name, identity and address details} \\$
- 3. Recent Photograph
- Any other document including in respect of the nature of business and financial status of the client as may be required by the reporting entity

#### Officially Valid Documents (OVD)

- 1. Passport
- 2. Driving License
- 3. Voter's Identity Card
- 4. NREGA Job Card duly signed by an officer of State Government
- 5. Letter issued by National Population Register containing details name, address
- 6. Any other document as notified by Central Government in consultation with Regulator

#### V. Bank Account Details

It is mandatory to attach cancelled original cheque/ self-certified copy of blank cheque/ self-certified Bank Statement/first page of the Bank Pass book (bearing account number and first unit holder name on the face of the cheque/ Bank Pass Book/ Bank Statement) is required as an incremental additional document in case of:

 $Registration \, of \, the \, unit \, holder's \, Bank \, Mandate \, at \, the \, time \, of \, investment$ 

 $Subsequent\, change\, in\, the\, unit\, holder's\, Bank\, Mandate.$ 

SEBI Regulations have made it mandatory for unit holders to mention the Bank Name & address of branch and Bank Account Number in their Investment application form in order to protect the interest of unit holders from fraudulent encashment of cheques. For registering multiple bank account please fill separate Form for Registering/Adding Multiple Bank Accounts. Individuals/HUF can register upto 5 bank accounts and Non Individuals upto 10 bank accounts. For further information please refer SAI.

#### VI. Investment Details

Unit holders should indicate the Plan/Option for which the application is made. In case unit holders wish to opt for both the Options, separate Application form will have to be filled. In case applications are received where option/sub-option for investment is not selected the default option/Sub option as prescribed in KIM will be applicable.

If the scheme name on the application form and on the payment instrument is different, the application will be processed and units will be allotted as per the Scheme name mentioned in the application/transaction slip duly signed by unit holder(s).

#### 1. Systematic Investment Plan (SIP):

Unit holders are given an additional facility of Systematic Investment Plan (SIP) in the Scheme(s) of TRUST MF. Thus, by investing a fixed amount at regular interval, Unit holders can take advantage of the benefits of Rupee Cost Averaging; such facility will be treated as Subscription along with the applicable NAV/load, if any. Unit holder can enroll themselves for SIP by submitting the enrolment form alongwith the relevant documents like debit instructions, the first cheque to start SIP and a cancelled cheque or a copy of cheque in case of NACH mandate as the case may be, at any of our ISCs. Unit holders should note that AMC may take initial transaction processing time upto 30 days. Unit holder will have right to discontinue the SIP at any time, if they so desire.

Facility of National Automated Clearing House (NACH) Platform in Systematic Investment Plan (SIP):

NACH/Direct Debits/Standing Instructions mode of payments will be available for investments in SIP, NACH is an electronic payment facility launched by National Payments Corporation of India (NPCI) with an aim to consolidate multiple Electronic Clearing System (ECS) mandates. Unit holders can avail NACH facility by duly filling up and submitting the SIP Enrolment cum NACH Mandate Form. The NACH facility shall be available subject to the terms and conditions contained in the Mandate Form and other guidelines as prescribed by NPCI from time to time.

#### 2. Internet SIP (I-SIP)

As an investor service initiative and with a view to provide convenience to investors, the AMC h introduced facility for registration of Systematic Investment Plan ("SIP") through online mode / permissible digital platforms with effect from August 13, 2024. The AMC has named this facility as Internet - Systematic Investment Plan ("I-SIP") Facility. The terms and conditions w.r.t. enrolment, registrations, bank mandates etc. remain like offline mode. However, in addition to the existing Terms and Conditions as mentioned for the SIP facility please note to the following:

- I-SIP facility is available only with banks and service providers with whom TRUST Mutual Fund
  has a tie up for auto debit. The list of banks is available on the website of TRUST Mutual Fund.
- After registration of I-SIP through any digital platform, Unique Registration Number (URN) will
  be allotted to the investors to the registered email id of the investor. Investors are required to
  register the URN with the bank, provided in the folio, opted at the time of registration of
  I-SIP, within 10 calendar days from the date of URN allotment. The Mutual Fund reserves the
  right to cancel the I-SIP registration if URN is not registered within 10 calendar days from the
  date of URN allotment.
- The first SIP instalment will fall on the SIP date after 30 calendar days from the date of registration of I-SIP.
- At any point of time, Investor can discontinue I-SIP at least 10 days prior the next cycle date.
- SIP pause facility is available in I-SIP registration.

All other terms and conditions of SIP facility shall remain unchanged. For detailed terms and conditions, please refer to the SIP Registration Forms available at the ISCs and our website <a href="https://www.trustmf.com">www.trustmf.com</a>.

The Trustee/AMC reserves the right to change/ modify the provisions mentioned above at a later date

#### 3. Investment through MICRO SIP:

In line with SEBI letter no. OW/16541/2012 dated July 24, 2012, addressed to AMFI, Investments in the mutual fund schemes [including investments through Systematic Investment Plans (SIP)] up to Rs. 50,000/- per unit holder per year shall be exempted from the requirement of PAN. However, requirements of Know Your Customer (KYC) shall be mandatory. Accordingly, unit holders seeking the above exemption for PAN still need to submit the KYC Acknowledgement, irrespective of the amount of investment. This exemption will be available only to Micro investment made by the individuals being Indian citizens (including NRIs, Joint holders, minors acting through guardian and sole proprietary firms). PIOs, HUFs, QFIs and other categories of unit holders will not be eligible for this exemption.

The AMC/Trustee reserve the right to change/modify the terms and conditions under the SIP prospectively at a future date.

#### VII. Mode of Payment

 Payment may be made by cheque or bank draft drawn on any bank, which is a member of the Bankers' Clearing House and is located at the place where the application is submitted.

No money orders, post-dated cheques [except through Systematic Investment Plan (SIP)] and postal orders will be accepted. Bank charges for outstation demand drafts will be borne by the AMC and will be limited to the bank charges stipulated by the State Bank of India.

Outstation Demand Draft has been defined as a demand draft issued by a bank where there is no ISC available for unit holders.

The AMC will not accept any request for refund of demand draft charges

- Payment through cash will not be accepted.
- As per AMFI best practice guidelines on 'Risk mitigation process against third party cheques in mutual fund subscriptions', TRUST Mutual Fund shall not accept applications for subscriptions with third party payment instruments. For further information please refer SAI.
- Cheque should be drawn in favor of "Scheme name of TRUST MF" eg. "TRUSTMF OVERNIGHT FUND" and should be crossed Account Payee Only'.
- Returned Cheques will not be presented again for collection and the accompanying application will be rejected.
- 6. Single Cheque for investments in multiple schemes and multiple cheques for investments in single scheme will not be accepted. In case investor wishes to invest in multiple schemes, they will need to provide multiple payment instruments/ instructions and similarly, single payment instrument for investment in single scheme.
- In case of investment through electronic mode (NEFT) RTGS/Transfer letter), you are requested
  to contact the nearest AMC/ KFin Technologies Ltd. ISCs for the Bank Account Number to which
  the purchase/ additional purchase amount is to be credited.
- 8. NRIs/FII's:

Repatriation basis: Payments by NRIs/ FIIs may be made by way of cheques drawn on non-resident external accounts payable at par and payable at the cities where the Investor Service Centers are located.

**Non-Repatriation basis:** NRIs investing on a non repatriable basis may do so by issuing cheques drawn on Non-Resident Ordinary (NRO) account payable at the cities where the Investor Service Centers are located.

- In case of payment through electronic mode (NEFT/RTGS or Transfer Letter), need to provide the bank acknowledgement copy along with purchase application.
- 10. Third party payments
  - a) Third party payments (i.e where payment is made from a source other than that of the first holder) will not be accepted by the Fund, except if made under the following exceptional categories, i) employer on behalf of employee as payroll deductions for SIP/Lumpsum investments, ii) Custodian on behalf of FII/client and iii) Payment by Asset Management Company (AMC) to a Distributor empanelled with it on account of commission/incentive etc. in the form of the Mutual Fund Units of the Funds managed by the AMC through Systematic Investment Plans or Lumpsum Investment v)Payment by a Corporate to its Agent/Distributor/ Dealer, on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund Units through Systematic Investment Plan or Lumpsum Investment. In such cases, KYC acknowledgement along with additional declarations will have to be submitted along with the application form, failing which the application will be rejected. Such declaration to be submitted in original & in the prescribed standard format and unique across each lumpsum investment.

In case of payment from a joint bank account, first holder in the folio has to be one of the joint holders of the bank account from which the payment is made. Hence, joint holders may preregister their bank accounts (single/ multiple) with the AMC/ RTA, by completing the Multiple Bank Account Registration Form, if they intend to make payment on behalf of other joint holder(s) in the folio. In such cases the application will be accepted and not treated as a third

party payment.

b) Where the payment instrument does not mention the bank account holders name/s, unit holder should attach bank pass book copy/bank statement/bank letter to substantiate that the first unit holder is one of the joint holders of the bank account. Where a payment is through a pre-funded instrument, a bank certification of the bank account no. and account holders name should be attached, in the required format. Pre-funded instrument issued against cash shall not be accepted for investments of Rs. 50,000/- or more.

For RTGS/ NEFT/ Online bank transfer etc., a copy of the instruction to the bank stating the account number debited must accompany the purchase application.

The AMC reserves the right to reject the application, post acceptance of the same, if any of the requisite documents/declarations are unavailable or incomplete, in which case the AMC shall refund the subscription money. No interest will be payable on the subscription money refunded. Refund orders will be marked "A/c. payee only" and will be in favour of and be dispatched to the Sole/FirstApplicant, by courier/speed post/ registered post.

#### VIII. Payment of Redemption/ IDCW Option

Unit holders are requested to provide the following details along with the mandatory requirement of bank account details (bank, branch address, account type and account no.) in the application form for electronic fund transfer (EFT) of IDCW option/redemption amount to the unit holders bank account. AMC will automatically extend this facility to all unit holders in case the bank account as communicated by the unit holder is with any of the bank providing EFT facility.

- The 11 digit IFSC (Indian Financial System Code)
- The 9 digit MICR (Magnetic Ink Character Recognition) Number appearing next to the cheque number in the cheque leaf (attach copy of the cancelled cheque for verification)

Based on the above information AMC will enable secure transfer of your redemption and IDCW option payouts via the various electronic mode of transfers (RTGS/NEFT/Direct Credit mode that are available in the banking system).

"if the remittance is delayed or not affected for reasons of incomplete or incorrect information, AMC cannot be held responsible". For validation of IFSC/MICR code, unit holder to attach the cancelled cheque/copy of cheque (PSU banks account holders to provide the front page of pass book along with cheque copy). If these documents are not provided the AMC will not be responsible consequent delay in receipt of payment. Fund is also not responsible for bankers delay.

#### Purchase/ Redemption of Units through Stock Exchange Infrastructure

Unit holders can purchase and redeem units of the on Mutual Fund Services System (MFSS), NMF II of the National Stock Exchange of India Ltd. (NSE) and on the BSE StAR MF System of Bombay Stock Exchange Ltd. (BSE). Please refer Scheme Information Document(s) of the Scheme(s) for further datalic

#### X. Prevention of Money Laundering and Know your Customer (KYC)

According to SEBI Guidelines under The Prevention of Money Laundering Act, 2002', Mutual Funds are required to follow enhanced know your customer (KYC) norms. Further, SEBI has also notified SEBI (KYC Registration Agency) Regulations, 2011 on December 23, 2011 with a view to bring uniformity in KYC requirements for the securities market and to develop a mechanism for centralization of the KYC records. Accordingly the following procedures shall apply:

SEBI has introduced a common KYC Application Form for all the SEBI registered intermediaries
viz. Mutual Funds, Portfolio Managers, Depository Participants, Stock Brokers, Venture Capital
Funds, Collective Investment Schemes, etc. New unit holders are therefore requested to use the
common KYC Application Form and carry out the KYC process including IPV with any SEBI
registered intermediaries including mutual funds. The KYC Application Forms are also available
on our website www.trustmf.com.

The Fund shall perform the initial KYC of its new unit holders and may undertake enhanced KYC measures commensurate with the risk profile of its unit holders in line with the aforementioned circulars/circulars issued by SEBI in this regard from time to time. The Fund shall upload the details of the unitholders on the system of the KYC Registration Agency ("KRA"). The Registrat & Transfer Agent of the Fund viz. KFin Technologies Limited ("KFintech") may also undertake the KYC of the unit holders on behalf of the Fund. On receipt of the KYC documents from the Fund, the KRA shall send a letter to the unit holder within SEBI stipulated timelines, confirming the details thereof.

- Once the unit holder has done KYC with a SEBI registered intermediary, the unit holder need not undergo the same process again with another intermediary but can submit the letter/ acknowledgment issued by the KRA.
- 3. It is mandatory for intermediaries including mutual funds to carry out IPV of its new unit holders. The IPV carried out by any SEBI registered intermediary can be relied upon by the Fund. TAMPL and NISM/AMFI certified distributors who are Know Your Distributor (KYVD) compliant are authorized to undertake the IPV for mutual fund unit holders. Further, in case of any applications received directly (i.e. without being routed through the distributors) from the unit holders, the Fund may rely upon the IPV (on the KYC Application Form) performed by the scheduled commercial banks.
- Existing KYC compliant unit holders of the Fund can continue to invest as per the current practice.
   However, existing unit holders are also urged to comply with the new KYC requirements including IPV as mandated by SEBI.

Application Form not accompanied by KYC Application Form or letter/acknowledgment issued by KRA may be rejected by the Fund. The KYC compliance status will be validated with the records of the KRA. AMC reserves the right to call for any additional information from the unit holders/applicant/ reject applications/subsequent application in order to fulfil the requirements of PMLA norms prescribed by SEBI/PMLA Regulation from time to time.

#### Implementation of Central KYC (CKYC)

The Government of India has authorized the Central Registry of Securitization and Asset Reconstruction and Security interest of India (CERSAI, an independent body), to perform the function of Central KYC Records Registry including receiving, storing, safeguarding and retrieving KYC records in digital form.

Accordingly, in line with SEBI circular nos. CIR/MIRSD/66/2016 dated July 21, 2016 and CIR/MIRSD/ 120/2016 dated November 10, 2016 on Operationalisation of Central KYC (CKYC), read with AMFI Best Practice Guidelines circular no. 68/2016-17 dated December 22, 2016, new individual unit holders investing into the Fund are requested to note the following changes, from February 1, 2017.

- New individual unit holders who have never done KYC under KRA (KYC Registration Agency)
  regime and whose KYC is not registered or verified in the KRA system, will be required to fill the
  new CKYC form while investing with the Fund.
- If any new individual unit holder uses the old KRA KYC form which does not have all the information needed for registration with CKYC, such unitholder will be required to either fill the new CKYC form or provide the missing/ additional information using the Supplementary CKYC form.

Unit holders who have already completed CKYC and have a KYC Identification Number (KIN) from the CKYC Registry can invest in schemes of the Fund quoting their 14 digit KIN in the application form. Further, in case the unit holder's PAN is not updated in CKYC system, a self-certified copy of PAN Card will need to be provided.

#### XI. Ultimate Beneficial Owner (UBO)

Pursuant to SEBI master circular vide ref. no. CIR/ISD/AML/3/2010 dated December 31, 2010 on Anti Money Laundering Standards and guidelines on Identification of Beneficial Ownership issued by SEBI vide its circular ref. no. CIR/MIRSD/2/2013 dated January 24, 2013, unit holders (other than Individuals) are required to provide details of Ultimate Beneficial Owner(s) ("UBO(s)") and submit

proofs to identify the UBO, i.e., identity and address proof.

Ultimate Beneficial Owner (UBO) is the natural person, who ultimately owns or controls, directly or indirectly your organisation. Controlling ownership interest has been defined as ownership of/entitlement to:

- more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company;
- more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or;
- more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals;
- 4. In case of Trust, beneficial owners of the trust needs to be known by determining the identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership:
- In case the unit holder is a listed company or a subsidiary where the majority is held by a listed company, then the details of shareholders or beneficial owners is not required;
- The identification of beneficial ownership in case of Foreign Institutional Investors (FIIs), their sub-accounts and Multilateral Funding Agencies/Bodies Corporate incorporated outside India with the permission of Government of India/Reserve Bank of India may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012.

Unit holders (other than Individuals & listed companies) shall be mandatorily required to submit the following additional documents along with the declaration, to the Fund at the time of an investment transaction. Additionally, unit holders shall be required to notify the fund, when there is a change in the beneficial ownership:

- Copy of the latest share holding pattern including list of all those holding control, either directly or indirectly, in the company in terms of SEBI takeover Regulations, duly certified by the Company Secretary/Whole time director/MD.
- Documents confirming identity and address of the UBOs of the entity.

Unit holders are requested to note that, the fund shall reserve the right to seek additional information to ascertain the beneficial or controlling ownership in the entity investing with the fund. Applications without the information are subject to rejection/refund.

#### (II. Transaction Charge in respect of Applications routed through Distributors/ Brokers

In terms of SEBI circular no. CIR/IMD/DF/13/2011 dated August 22, 2011, as amended from time to time, Transaction Charge per subscription of Rs 10,000/- and above shall be charged to the unit holders w.e.f. November 1, 2011 and paid to the distributors/ brokers (who have opted in for transaction charges) in respect of applications relating to new subscriptions only (lumpsum and SIP), subject to the following:

- 1. For existing mutual fund unit holders: Rs.100/- per subscription of Rs.10,000/- and above;
- 2. For the first time mutual fund unit holders: Rs. 150/- per subscription of Rs. 10,000/- and above;
- In case of SIPs, transaction charge shall be applicable only if the total commitment through SIP amounts to Rs. 10,000/- and above. In such cases the transaction charge would be recovered in 4 installments, starting from the 2nd to 5th installment;
- 4. There shall be no transaction charge on subscription of below Rs. 10,000/-;
- There shall be no transaction charge on transactions other than purchases/subscriptions relating to new inflows;
- 6. There shall be no transaction charge on direct investments:
- 7. There shall be no transaction charge on subscriptions carried out through the Stock Exchange

In accordance with SEBI circular no. CIR/IMD/DF/21/2012 dated September 13, 2012, distributors shall also have an option either to opt in or opt out of levying transaction charge based on type of the product. The Transaction Charge as mentioned above shall be deducted by the AMC from the subscription amount of the unit holder and paid to the distributor and the balance shall be invested.

#### XIII. Nomination Details

Applicants applying for Units singly/jointly can make a nomination at the time of initial investment or during subsequent investments.

- 1. The nomination can be made only by individuals applying for/holding units on their own singly or jointly. Non-individuals including society, trust (other than a religious or charitable trust), body corporate, partnership firm, Karta of Hindu Undivided Family, holder of Power of Attorney cannot nominate. W.e.f. April 1, 2011, nomination is not allowed in a folio held on behalf of a minor. All holders will have to sign request for nomination or cancellation of nomination, even if the mode of holding is not joint. Nomination cannot be signed by Power of Attorney (PoA) holders.
- A minor can be nominated and in that event, the name and address of the guardian of the minor nominee shall be provided by the Unit Holder. Nomination can also be made in favor of the Central Government, State Government, a local authority, any person designated by virtue of his office or a religious or charitable trust.
- A Non-Resident Indian can be a Nominee subject to the exchange control regulations in force, from time to time.
- 4. Nomination in respect of the units stands rescinded upon the redemption/transfer/transmission of units
- Transmission of units in favour of a Nominee shall be a valid discharge by the Asset Management Company (AMC) against the legal heir.
- 6. The cancellation of nomination can be made only by those individuals who hold units on their own behalf singly or jointly and who made the original nomination. On cancellation of the nomination, the nomination shall stand rescinded and the AMC/ Fund/ Trustees shall not be under any obligation to transmit the units in favour of the Nominee.
- Nomination shall be maintained at the folio/account level and shall be applicable for all schemes in the folio/account.
- 8. Death of Nominee/s: In the event of the Nominee(s) pre deceasing the unitholder(s), the unitholder/s is/are advised to make a fresh nomination soon after the demise of the Nominee. The Nomination will automatically stand cancelled in the event of the Nominee(s) pre deceasing the unitholder(s). In case of multiple nominations, if any of the Nominee is deceased at the time of death claim settlement, the said Nominee's share will be distributed equally amongst the surviving nominees.

#### XIV. Declaration and Signatures

- 1. Signature should be in black or blue ink only.
- Signatures should be in English or in any Indian language. Thumb impressions and Signatures in languages not specified in the Eight Schedule of the Constitution of India should be attested by a Magistrate or a Notary Public or a Special Executive Magistrate under his/her official seal. In case of HUF, the Karta will sign on behalf of the HUF.
- Applications on behalf of minors should be signed by their Guardian.

Trust MF/TAMPL, reserves the right to reject any application inter alia in the absence of fulfilment of regulatory requirements, fulfilment of requirements of the SID, SAI and furnishing necessary information to the satisfaction of the Mutual Fund/AMC.

Any decision of TRUSTAMC about the eligibility or otherwise of a person to transact under the scheme shall be final and binding on the applicant. TRUST AMC shall have the right to accept and/or to reject/compulsorily redeem the transaction at its sole discretion.

## COMMON SIP REGISTRATION CUM



	subscribing to the ked * are mandato		DATE FORM submit this form along with Com	mon Application	n Form)		FUND  CLEAR • CREDIBLE • CONSISTENT			
1. DISTRIB	UTOR INFO	RMATION								
ARN/RIA Co Manager's Regis	de/Portfolio tration (PMRN)#	Sub Broker's ARN	Internal Code for Sub-Broker/ Employee		Employee Uniquidentification Numbe		FOR OFFICE USE ONLY Registrar/ Bank Serial No./ Date and Time of Receipt			
ARN-		ARN-			ual ARN holder or of e lip Manager/Sales Per					
Declaration for "exe any interaction or	ecution-only" trans advice by the em	action (only where EUIN box nployee/relationship manage		rm that the EUII stributor or not	Nox has been intention withstanding the advice	nally left blank by me/us	as this is an "execution-only" transaction without if any, provided by the employee/relationship			
Signatu			Signature of			Sign	ature of Third Unit Holder			
OTM Debit N	Please (✓ ) as applicable:  OTM Debit Mandate is already registered in the folio. [No need to submit again]. SIP Auto debit can start in 10 Days i.e. for debit date 15th, form can be submitted till 4th of the month.  OTM Debit Mandate is attached and to be registered in the folio. SIP Auto debit will start after mandate registration which takes upto 30 days.									
1. UNITHO	_DER INFOR	RMATION								
Folio No.			PAN No.			Dat	te of Birth D D M M Y Y Y Y			
1st Unit Holder Na	me (As per PAN)	Mr. Ms. M/S								
Name of the Guard	lian / POA Holder	Mr. Ms. M/S								
2. INVEST	MENT DETAI	LS								
Scheme Name	FRUSTMF			Plan - Re	gular Direct	Option - Growth	☐ IDCW* Reinvestment ☐ IDCW* Payout			
Note: Default Option	on will be Growth i	n case option not selected or	in case of any ambiguity			*IDCW - Income Distrib	ution cum Capital Withdrawal Option			
3. SIP DETA	ILS									
OTM Reference N	0.				(If Multiple	One Time Mandate are r	registered)			
Installment Period:	From Date	D D M M Y Y	Y Y To Date*	D M M	Y Y Y Y *(N	faximum SIP end date s	should be upto 40 years from the start date)			
First SIP Instalmer	t via: Cheque No.		Drawn on Bank and Bran	ich						
Amount: ₹			A/c. No.							
Amount per install	ment: ₹		Amount in W	/ords						
•		al Fund and their authorized s H Mandate to register and sta	service providers to debit my/our	following bank	account by NACH cle	aring for collection of SIF	P payments.			
Frequency:	☐ We	eekly (Please ✓ )	Fortnightly (Plea	ıse ✔ )	Monthly	** (Please √ )	☐ Quarterly (Please ✓ )			
(Please ✓)	Dates: 1	8 15 22	SIP Date:		SIP Date:	D D	SIP Date: D D			

\*\* Tenth of the month will be the default frequency if not ticked

#### 4. DECLARATION & SIGNATURE(S)

I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply for the units of the scheme for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit.

I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Trust Asset Management Private Limited / Trust Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

I/WE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

Date	Cian show of First Applicant	Cinches of Council Applicant	Cincature of Third Applicant
D D M M Y Y Y			Signature of Third Applicant.

This form should be accompanied with One Time Mandate Form (OTM)

THIS PACE IS INTENTIONALLY LEED, BLANK



## **ONE TIME BANK MANDATE**

(NACH / OTM / DIRECT DEBIT FORM)

			UMRN	FOR OFFICE U	S E O N	N L Y	Date D D M M Y Y Y Y
Tick (✓)		✓	Sponsor Bank Code	FOR OFFICE USE ONLY	Utility Code		FOR OFFICE USE ONLY
MODIFY CANCEL		X	I/We hereby authorize	TRUST MUTUAL FUND		to debit (tick ✓)	SB CA CC SB-NRE SB-NRO Other
			Bank Account No.				
With Bank	(		Name of customers bank	IFSC		or MICR	
an amoun	t of rupe	es		IN WORDS			₹ IN FIGURES
Frequency	y	XN	Ionthly X Quarterly X	Half yearly X Annually As & when presented	DEB	IT TYPE X Fixed a	amount 🗸 Maximum Amount
Reference	e/Applicat	tion N	0.		Pho	one No.	
Scheme N	lame						
Email ID							
I agree for	the debi	t of ma	andate processing charges by	the bank whom I am authorizing to debit my account as pe	er latest schedule of cha	arges of the bank.	
PERIOD						of Account Holder Bank Record	Signature of Account Holder As per Bank Record
From To	D D	M		1. Name as in Bank records	2. Name as in		3. Name as in Bank records
	Maximu	m peri	od of validity of this mandate is	s 40 years only.			

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.

#### INSTRUCTIONS TO INVESTORS FOR FILLING UP THE SYSTEMATIC INVESTMENT PLAN (SIP) FORM

Please refer the Key Information Memorandum (KIM) and Scheme Information Document (SID) of the respective Scheme for applicable NAV, risk factors, load (exit entry) and other information on the respective schemes before investing.

- 1. Investors are required to submit Form along with a photo copy/cancelled cheque of Debit Bank Account at least 21 Business days before the first SIP Installment date.
- 2. Investor shall have the option of choosing any date of the month as the SIP date. If SIP date is not mentioned, default date would be considered as 10th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
- 3. Default Option for SIP The Investor is required to furnish all the stipulated details in the Application, SIP Mandate, NACH Forms etc. However, in case, any Investor fails to mention the "start date" and/or "end date" for the SIP Instalment, the NACH application may be rejected by the Bank. Further, If Investor fails to mention the "start date" the default date is 10th of the subsequent month, after completing 30 days from the date of registration of SIP. The maximum end period for any New SIPs registered through the OTMs will be up to 40 years in line with the OTM or the maximum period of the SIP is not more than 40 years.
- 4. Please refer below table for minimum SIP amounts and minimum SIP instalments:

Frequency under SIP Facility	Minimum Amount	Minimum number of installments
Weekly	Rs. 1000 and in multiples of any amount thereafter	24 installments
Fortnightly	Rs. 1000 and in multiples of any amount thereafter	12 installments
Monthly	Rs. 1000 and in multiples of any amount thereafter	6 installments
Quarterly	Rs. 3000 and in multiples of any amount thereafter	4 installments

- 5. If no amount is mentioned in the NACH application minimum SIP instalment amount would be considered.
- 6. For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing.
- 7. The SIP will be discontinued automatically if payment is not received for three successive instalments.
- 8. Investors can discontinue a SIP at any time by sending a written request to any Official Point of Acceptance or to the registrar KFin Technologies Limited. Notice of such discontinuance should be received at least 20 days prior to the due date of the next installment / debit. Further, same can be stopped through our website through investor portal.
- 9. Mandate will be processed through NACH platform offered by NPCI.
- 10. As per para 10.5 related to "Transaction charges" of SEBI master circular for mutual fund dated June 27, 2024, Transaction Charge on commitment of Rs. 10,000/- and above shall be charged from the investors and shall be payable to the distributors/ brokers (who have not opted out of charging the transaction charge) in respect of applications routed through distributor/broker relating to Purchases / subscription / new in ows only (lumpsum and SIP), subject to the following:
  - For Existing / New investors: Rs.100 / Rs.150 as applicable on commitment of Rs.10,000/– and above.
  - Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to Rs. 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
  - There shall be no transaction charge on commitment below Rs. 10,000/-.
  - There shall be no transaction charges on direct investments.
  - · There shall be no transaction charges for transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc.
  - Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges. The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount. However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.
- 11. Investor will not hold TRUST Mutual Fund, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit/ Local/Bank holiday. TRUST Mutual Fund, its registrars and other service providers shall not be held responsible or liable for damages / compensation / loss incurred by the investor as a result of using the SIP or NACH/Auto debt facility. The investor assumes the entire risk of using this facility and takes full responsibility.
- 12. If date selected by the investor is prior to the 21 Business days, the SIP will be start from next available date.
- 13. As per the latest circular number NPCI/2023-24/NACH/008 dated August 18,2023 from NPCI, the maximum end period for any New SIPs registered through the OTMs will be up to 40 years in line with the OTM or the maximum period of the SIP is not more than 40 years. Existing SIPs will not get impacted on the above conditions.
- 14. Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign.

#### INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

- 1. The Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form.
- 2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque bank account details are subject to third party verification.
- 3. Investors are deemed to have read and understood the terms and conditions of NACH Facility, SIP registration through NACH facility, the Scheme Information Document, Statement of Additional Information, Key Information memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of TRUST Mutual Fund.
- 4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format.
- 5. Please mention the amount in figures and words.
- 6. Please fill all the required details in the Debit mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
- $7. \qquad \text{The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.} \\$
- 8. The 9 digit MICR or the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You will be able to find these code on cheque leaf.

Toll Free Number	Email ID	Website
1800 267 7878	investor.service@trustmf.com	www.trustmf.com

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

### **MULTIPLE SIP REGISTRATION FORM**

(For first time investors, Kindly submit this form along with Common Application form)



1. DISTRIBUTOR INFO	RMATION			
ARN/ RIA Code/ Portfolio Manager's Registration (PMRN)#	Sub Broker's ARN	Internal Code for Sub-Broker/ Employee	Employee Unique Identification Number (EUIN)	FOR OFFICE USE ONLY Registrar/ Bank Serial No./ Date and Time of Receipt
ARN-	ARN-		E- (Of Individual ARN holder or of employee/ Relationship Manager/Sales Person of the Distributor)	
By mentioning RIA / PMRN code, I/We	e authorize you to share with the	Investment Adviser the details	of my/our transactions in the scheme(s) of TRUST Mutual Fur	nd.

Declaration for "execution-only" transaction (only where EUIN box is left blank) – I/We hereby confirm that the EUIN box has been intentionally left blank by me/us as this is an "execution-only" transaction without any interaction or advice by the employee/relationship manager/sales person of the above distributor or notwithstanding the advice of in-appropriateness, if any, provided by the employee/relationship manager/sales /sub broker of the distributor and the distributor has not charged any advisory fees on this transaction.

Signature of First/Sole Unit Holder/	Guardian	Signature of S	Second Unit Holder	Siç	Signature of Third Unit Holder						
1. UNITHOLDER INFORMATIO	N										
Folio No.		For Existing Investor PA	N No.		Date of Birth D D M M Y Y Y						
1st Unit Holder Name (As per PAN) Mr. Ms. M/S											
Name of the Guardian / POA Holder Mr. Ms. M/S											
2. SIP DETAILS											
SIP Registration Mode A-OTM	K-OTM Man	ndate along with SIP form									
OTM Reference No.			(If N	fultiple One Time Mandate are	e registered)						
Scheme / Plan / Option	Frequency	SIP Date	Enrollment Period (MMYY)	SIP Amount							
	Weekly	<u>181522</u>	From	₹ (in figures)							
	Fortnightly Monthly Quarterly	Default SIP Date 10th	То	(in words)							
Scheme / Plan / Option	Frequency	SIP Date	Enrollment Period (MMYY)	SIP Amount							
	Weekly	<u>181522</u>	From	₹ (in figures)							
	Fortnightly Monthly Quarterly	Default SIP Date 10th	То	(in words)							
Scheme / Plan / Option	Frequency	SIP Date	Enrollment Period (MMYY)	SIP Amount							
	Weekly	181522	From	₹ (in figures)							
	Fortnightly Monthly Quarterly	Default SIP Date 10th	То	(in words)							
Drawn on Bank and Branch											
Amount: ₹	A/c. No.			Date D	D M M Y Y Y						
In case of multiple SIP, mention "TRUST Mutua	al Fund - Collection Ac	ccount" on the payment instrun	nent.								

### 3. DECLARATION & SIGNATURE(S)

I/We have read and understood the contents of the Scheme Information Document(s) and Statement of Additional Information and the terms & conditions of SIP enrolment through Direct Debit/NACH and agree to abide by the same. I/We hereby apply for the units of the scheme for enrolment under the SIP of the following Scheme(s)/ Plan(s) / Option(s) and agree to abide by the terms and conditions of the same. I/We hereby declare that the particulars given above are correct and express my willingness to make payments referred above through participation in NACH/Direct Debit.

I/We authorise the bank to honour the instructions as mentioned in the application form. I/We also hereby authorise bank to debit charges towards verification of this mandate, if any. I/We agree that Trust Asset Management Private Limited / Trust Mutual Fund (including its affiliates), and any of its officers directors, personnel and employees, shall not be held responsible for any delay/wrong debits on the part of the bank for executing the direct debit instructions of additional sum on a specified date from my account. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold the user institution responsible. I/We undertake to keep sufficient funds in the funding account on the date of execution of standing instruction. I/We have not received nor been induced by any rebate or gifts, directly or indirectly, in making this investment. The ARN holder has disclosed to me/us all the commissions (in the form of trail commission or any other mode), payable to him/them for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended to me/us.

IWE HEREBY CONFIRM THAT I/WE HAVE NOT BEEN OFFERED/COMMUNICATED ANY INDICATIVE PORTFOLIO AND/OR ANY INDICATIVE YIELD BY THE FUND/AMC/ITS DISTRIBUTOR FOR THIS INVESTMENT.

[	Date									Signature of Third Applicant
	D D	М	M	Υ	Υ	Υ	Υ	Signature of First Applicant.	Signature of Second Applicant.	Signature of Third Applicant.

This form should be accompanied with One Time Mandate Form (OTM)

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## **ONE TIME BANK MANDATE**

(NACH / OTM / DIRECT DEBIT FORM)

			UMRN	F O R	O F F I	C E U	S E O	N L Y	Date D D M M Y Y Y Y
Tick (✓)		S	ponsor Bank Code	F	OR OFFICE USE ON	LY	Utility Code		FOR OFFICE USE ONLY
MODIFY CANCEL		x x	We hereby authorize		TRUST MU	ITUAL FUND		to debit (tick ✓)	SB CA CC SB-NRE SB-NRO Other
		В	ank Account No.						
With Bank			Name of customers bank		IFSC			or MICR	
an amouni	t of rupee	S			IN WORDS	}			₹ IN FIGURES
Frequency	,	X Mor	nthly X Quarterly X	Half yearly X An	nually 🔽 As &	when presented	DE	EBIT TYPE X Fixed	amount
Reference	/Application	on No.					P	hone No.	
Scheme N	ame					All Schemes	of TRUST Mutual F	und	
Email ID									
l agree for	the debit	of man	date processing charges by	the bank whom I am a	authorizing to debit	my account as pe	er latest schedule of	charges of the bank.	
PERIOD									
From To	D D	M	M Y Y Y Y M Y Y Y Y Y Y Y Y Y Y Y Y Y Y	1.	Name as in Bank I			s in Bank records	3. Name as in Bank records
	Maximun	n period	of validity of this mandate is	s 40 years only.					

This is to confirm that the declaration has been carefully read, understood & made by me/us. I am authorizing the user entity/corporate to debit my account, based on the instructions as agreed and signed by me. I have understood that I am authorized to cancel/amend this mandate by appropriately communicating the cancellation/amendment request to the user entity/corporate or the bank where I have authorized the debit.

#### INSTRUCTIONS TO INVESTORS FOR FILLING UP THE SYSTEMATIC INVESTMENT PLAN (SIP) FORM

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- 2. Investor shall have the option of choosing any date of the month as the SIP date. If SIP date is not mentioned, default date would be considered as 10th of every month. If the SIP date falls on a non-business day or a bank holiday, the SIP debit will be processed on the following business day.
- 3. Default Option for SIP The Investor is required to furnish all the stipulated details in the Application, SIP Mandate, NACH Forms etc. However, in case, any Investor fails to mention the "start date" and/or "end date" for the SIP Instalment, the NACH application may be rejected by the Bank. Further, If Investor fails to mention the "start date" the default date is 10th of the subsequent month, after completing 30 days from the date of registration of SIP. The maximum end period for any New SIPs registered through the OTMs will be up to 40 years in line with the OTM or the maximum period of the SIP is not more than 40 years.
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- 9. Mandate will be processed through NACH platform offered by NPCI.
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  - For Existing / New investors: Rs. 100 / Rs. 150 as applicable on commitment of Rs. 10,000/– and above.
  - Transaction charge for SIP shall be applicable only if the total commitment through SIP amounts to Rs. 10,000/- and above. In such cases the transaction charge would be recovered in maximum 4 successful installments.
  - There shall be no transaction charge on commitment below Rs. 10,000/-.
  - · There shall be no transaction charges on direct investments
  - · There shall be no transaction charges for transaction other than purchases/ subscriptions relating to new inflows such as Switches, etc.
  - Transactions carried out through the Stock Exchange platforms for mutual funds shall not be subject to transaction charges. The requirement of minimum application amount shall not be applicable if the investment amount falls below the minimum requirement due to deduction of transaction charges from the subscription amount. However, the option to charge "transaction charges" is at the discretion of the distributors. Investors may note that distributors can opt to receive transaction charges based on type of the Scheme. Accordingly, the transaction charges would be deducted from the subscription amounts, as applicable.
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- 12. If date selected by the investor is prior to the 21 Business days, the SIP will be start from next available date.
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- 14. Maximum limit of 1 Crore for Physical OTM/ E-mandate/ E-Sign.

#### INSTRUCTIONS TO FILL THE NACH DEBIT MANDATE FORM

- 1. The Unit holder(s) should mandatorily provide their mobile number and email id on the mandate form.
- 2. Unit holder(s) need to provide along with the mandate form an original cancelled cheque (or a copy) with name and account number pre printed of the bank account to be registered or bank account verification letter for registration of the mandate failing which registration may not be accepted. The Unit holder(s) cheque bank account details are subject to third party verification.
- 3. Investors are deemed to have read and understood the terms and conditions of NACH Facility, SIP registration through NACH facility, the Scheme Information Document, Statement of Additional Information, Key Information memorandum, Instructions and Addendum issued from time to time of the respective Scheme(s) of TRUST Mutual Fund.
- 4. Date and the validity of the mandate should be mentioned in DD/MM/YYYY format.
- 5. Please mention the amount in figures and words.
- 6. Please fill all the required details in the Debit mandate Form for NACH. The sole/first holder must be one of the holders in the bank account.
- $7. \qquad \text{The UMRN, the Sponsor Bank Code and the Utility Code are meant for office use only and need not be filled by the investors.} \\$
- 8. The 9 digit MICR or the 11 digit IFSC are mandatory requirements without which your SIP applications will be rejected. You will be able to find these code on cheque leaf.

Toll Free Number	Email ID	Website
1800 267 7878	investor.service@trustmf.com	www.trustmf.com

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### THIRD PARTY PAYMENT DECLARATION FORM

Third Party Payment Declaration Form should be completed in English and in BLOCK LETTERS only. (Please read the Third Party Payment Rules and Instructions carefully before completing this Form) (This form should be enclosed with each Subscription Form/SIP Enrolment Form.)



Declaration Form No Date																													
FOR OFFICE USE ONLY	•																												
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Mr. Ms. M/s																													
2. THIRD PARTY INFOR	MATION	(Refer	Instruc	tion N	lo. 3 )																								
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	TRUST Mutual Fund/TRUST Asset Management Private Limited reserves the right to seek information and /or obtain such other additional documents/information from the Third Party for establishing the identity the Third Party.												dentity of																					
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5. DEC	LAR	ATION	IS &	SIGNA	TUR	E(S	) (Ref	er In	structi	on	No 5	5)																						
THIRD PARTY DECLARATION																																		
further informabsolute discoulted live hereby by any regul payment from	cretion t declare atory au m me/us	that the atthority in towards	not pro amoun India. proce	t invested I/We sha ssing the t	application the Solution the So	ion F Sche Iely I tion i	orm rec me(s) is liable/res	eived t throug sponsi	from the gh legitim ble for a	Bei nate iny e	neficial e sourc claim, l	es o	estor(s only an and/ o	s) and r id is not or dama	efund the for the p age of wi	e su ourp hat	ubscription pose of co tsoever n	on mo ontrav ature	nies /ent	accord ion or e	dingly vasio	/. on o	fany	/act,	rule	s, re	gula	tions,	, notif	ficati	ons c	or dire	ctior	ns issued
Applic  I/We am/are	not pro						ets unde	er any	order/ru	ıling	g/judgm	nent	etc. c	of any r	egulatior	n, ii	ncluding	SEBI	. I/V	Ve con	firm t	hat	my :	appli	catio	on is	in o	ompli	ance	e with	п арр	licabl	le Ind	dian and
foreign laws. Please ( ✓ )		Ye		N	0																													
If Yes, (✓)				tion basis atriation ba	asis																		_		S	igna	ture	of the		rd Pa	artv			
																										3					,			
I/We confirm received from any damage	having n the Th	read and ird Party.	d unde . The F	rstood the und reser	Third F es the	Party right	Payme	le discı	retion to	reje	ect/not	proc	ess th	e Appli	cation Fo	orm																		
DD	MM		YYY	Υ																														
											THIE	RD	PAF	RTY [	DECLA	ΑF	RATIOI	N																
I/We hereb	-	m that th	he info	rmation p	rovided	her	ein by th	ne Thir	d Party i	is							First / So Applicar																	
															SIGNATURE/S		Second Applicar	nt																
DD	MN	Л	YY	YY											U)		Third Applicar	nt																

#### THIRD PARTY PAYMENT RULES

- 1. In order to enhance compliance with Know your Customer (KYC) norms under the Prevention of Money Laundering Act, 2002 (PMLA) and to mitigate the risks associated with acceptance of third party payments, Association of Mutual Funds of India (AMFI) issued best practice guidelines on "risk mitigation process against third party instruments and other payment modes for mutual fund subscriptions". AMFI has issued the said best practice guidelines requiring mutual funds/asset management companies to ensure that Third-Party payments are not used for mutual fund subscriptions except in prescribed circumstances.
- 2a. The following words and expressions shall have the meaning specified herein:
  - "Beneficial Investor" is the first named applicant/investor in whose name the application for subscription of Units is applied for with the Mutual Fund.
  - (b) "Third Party" means any person making payment towards subscription of Units in the name of the Beneficial Investor.
  - (c) "Third Party Payment" is referred to as a payment made through instruments issued from a bank account other than that of the first named applicant/ investor mentioned in the application form.

#### Illustrations

Illustration 1: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of B, C & Y. This will be considered as Third Party payment.

Illustration 2: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in names of C, A & B. This will not be considered as Third Party payment.

Illustration 3: An Application submitted in joint names of A, B & C alongwith cheque issued from a bank account in name of A. This will not be considered as Third Party payment.

- 2b. Third party cheque(s) for investment/subscription shall be accepted, only in exceptional circumstances, as detailed below:
  - Payment by an Employer on behalf of employees under Systematic Investment Plans (SIP) or lump sum / one-time subscription, through Payroll deductions or deductions out of expense reimbursements.
  - (ii) Custodian on behalf of an FII or a Client.
  - (iii) Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/ services, in the form of the Mutual Fund Units through SIP or lump sum/ one-time subscription.
- 2c. Applications submitted through the above mentioned 'exceptional cases' are required to comply with the following, without which applications for subscriptions for units will be rejected/not processed/refunded.
  - (I) Mandatory KYC for all investors and the person making the payment i.e. third party.
  - Submission of a complete and valid 'Third Party Payment Declaration Form' from the investors and the person making the payment i.e. third party.
  - (iii) Verifying the source of funds to ensure that funds have come from the drawer's account only.
- 2d. Investor(s) are requested to note that any application for subscription of Units of the Scheme(s) of TRUST Mutual Fund accompanied with Third Party payment other than the above mentioned exceptional cases as described in Rule (2b) above is liable for rejection without any recourse to Third Party or the applicant investor(s).

The above mentioned Third Party Payment Rules are subject to change from time to time. Please contact any of the Investor Service Centres of TRUST AMC or visit our website <a href="https://www.trustmf.com">www.trustmf.com</a> for any further information or updates on the same.

#### INSTRUCTIONS FOR THIRD PARTY PAYMENT DECLARATION FORM

#### 1. GENERAL INSTRUCTIONS

Please read the terms of the Key Information Memorandum, the Scheme Information Document (SID) and Statement of Additional Information (SAI) carefully before filling the Third Party Payment Declaration Form (hereinafter referred to as 'Declaration Form').

The Declaration Form should be completed in ENGLISH and in BLOCK LETTERS only. Please tick in the appropriate box for relevant declarations wherever applicable. Please do not overwrite. Any correction/ changes (if any) made in the Declaration Form, shall be authenticated by Cancelling and re-writing the correct details and counter signature of the Third Party and the Beneficial Investor(s).

Applications along with the Declaration Form completed in all respects, must be submitted at the Official Points of Acceptance of TRUST Mutual Fund ("Fund").

In case the Declaration Form does not comply with the above requirements and/or is incomplete in any respect, the Fund /AMC at its discretion may reject / not process such Declaration Form and where required, refund the subscription money to the bank account from which such money was remitted and shall not be liable/responsible for any such rejection.

#### 2. BENEFICIAL INVESTOR INFORMATION

The Third Party should provide the Folio Number of the Beneficial Investor already having a folio with the Fund in Section 1. In case the Beneficial Investor does not have an existing Folio Number, the Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.

#### 3. THIRD PARTY INFORMATION

The Third Party should mention the Application Number as stated in the Application Form. Name must be written in full.

Full Name and relationship of Third Party with the Beneficial Investor must be provided.

The Relationship declared by the Third Party will suggest that the payment made on behalf of Beneficial Investor(s) is:

- Payment by an Employer on behalf of employees under Systematic Investment Plans (SIP) or lump sum / one-time subscription, through Payroll deduction.
- b. Custodian on behalf of an FII or a Client.
- c. Payment by a Corporate to its Agent/ Distributor/ Dealer (similar arrangement with Principal agent relationship), on account of commission or incentive payable for sale of its goods/services, in the form of the Mutual Fund units through SIP or lump sum / one- time subscription.

Mailing address and contact details of Third Party must be written in full.

#### 4. THIRD PARTY PAYMENT DETAILS

Third Party must provide in the Declaration Form the details of the Pay-in Bank Account i.e. account from which subscription payment is made in the name of the Beneficial Investor(s).

The Declaration Form with incomplete payment details shall be rejected. The following document(s) is/are required to be submitted by Third Party as per the mode of payment selected:

(i) Source of funds - if paid by cheque

In case the account number and account holder name of the third party is not pre-printed on the cheque, then the third party should provide any one of the following documents

- a copy<sup>#</sup> of the bank passbook or a statement of bank account having the name and address of the account holder and account number:
- a letter (in original) from the bank on its letterhead certifying that the third party maintains an account with the bank, along with information like bank account number, bank branch, account type, the MICR code of the branch & IFSC Code (where available). The said letter should be certified by the bank manager with his / her full signature, name, employee code, bank seal and contact number.
  - \* The original documents along with the documents mentioned above should be submitted to the ISCs / Official Points of Acceptance of TRUST Mutual Fund. The copy of such documents will be verified with the original documents to the satisfaction of the TRUST AMC/ TRUST Mutual Fund / Registrar and Transfer Agent. The original documents will be returned across the counter after due verification.

 (ii) Source of funds - if funded by pre-funded investments such as Pay Order, Demand Draft, Banker's cheque etc.

The Third Party should attach any one of the following supporting documents with the purchase application where subscription for units is vide a pre-funded instrument issued by way of debit to his / her bank account: (i) a Certificate (in original) from the issuing banker duly certified by the employee signing the pre-funded instrument with his / her full signature, name, employee code, bank seal and contact number, stating the Account holder's name, the Bank Account Number which has been debited for issue of the instrument (Mandatory) and PAN as per bank records, if available (ii) a copy of the acknowledgement from the bank, wherein the instructions to debit carry the bank account details and name of the third party as an account holder are available (iii) a copy of the passbook/bank statement evidencing the debit for issuance of the instrument.

The account number mentioned in the above supporting documents should be the same as the bank details mentioned in the application form.

- iiii) Source of funds if paid by RTGS, BankAccount-to-Account Transfer, NEFT etc. Acknowledged copy of the instruction to the bank stating the account number debited.
- (iv) Source of funds if paid by a pre-funded instrument issued by the Bank against Cash. The AMC/Mutual Fund /R&TA will not accept any purchase applications from third party if accompanied by a pre-funded instrument issued by a bank (such as Pay Order, Demand Draft, Banker's cheque) against cash for investments of Rs. 50,000 or more. The third party should submit a Certificate (in original) obtained from the bank giving name, bank account number (Mandatory) and PAN as per the bank records, if available of the person who has

code, bank seal and contact number. The AMC / Mutual Fund / R&TA will check that the name mentioned in the Certificate matches with the name of the third party.

The account number mentioned in the Certificate should be the same as / one of the

registered bank account or the bank details mentioned in the application form.

requested for the payment instrument. The said Certificate should be duly certified by the

employee signing the pre-funded instrument with his / her full signature, name, employee

#### 5. SIGNATURE(S)

Signature(s) should be in English or in any Indian Language.

#### 6. PERMANENT ACCOUNT NUMBER

It is mandatory for the Third Party to mention the Permanent Account Number (PAN) irrespective of the amount of Purchase\*. In order to verify that the PAN of Third Party has been duly and correctly quoted therein, the Third Party shall attach along with the Declaration Form, a photocopy of the PAN card duly self-certified.

\*includes fresh/additional purchase, Systematic Investment Plan. Declaration Forms not complying with the above requirement will not be accepted/processed.

For further details, please refer Section 'Permanent Account Number' under the Statement of Additional Information available on our website www. trustmf.com

#### 7. PREVENTION OF MONEY LAUNDERING

SEBI vide its circular reference number ISD/CIR/RR/AML/1/06 dated January 18, 2006 and other circulars issued from time to time, mandated that all intermediaries including Mutual Funds should formulate and implement a proper policy framework as per the guidelines on anti money laundering measures and also to adopt a Know Your Customer (KYC) policy.

The Third Party should ensure that the amount invested in the scheme is through legitimate sources only and does not involve and is not designated for the purpose of any contravention or evasion of the provisions of the Income Tax Act, Prevention of Money Laundering Act (PMLA), Prevention of Corruption Act and / or any other applicable law in force and also any laws enacted by the Government of India from time to time or any rules, regulations, notifications or directions issued thereunder.

To ensure appropriate identification of the Third Party and with a view to monitor transactions for the prevention of money laundering, the AMC/ Fund reserves the right to seek information, record investor's/Third party's telephonic calls and/or obtain and retain documentation for establishing the identity of the third party, their beneficial ownership, proof of residence, source of funds, etc. It may re-verify, identity and obtain any incomplete or additional information for this purpose.

## **FATCA & CRS Information** (For Non-Individuals / Legal Entity) (Form 1B)



(All fields are mandatory, please consult your professional tax advisor for further guidance on your tax residency)

Name	of the entity										
	Type of address given at KRA Residential or Business Residential Business Registered Office										
	Address of residence would be taken as available in KRA database. In case of any change, please approach KRA & notify the changes"  PAN  Date of incorporation  Date of incorporation  Date of incorporation  Date of incorporation										
PAN			· L								
•	ncorporation		Country of incorpora	tion							
		x resident declaration:		(If yes, please provide country/ies in which the entity is a resident for tax purposes							
Is "Ent	ity" a tax resident of any co	ountry other than India Yes	No	and the associated Tax ID number below.)							
Sr. No	o. Cou	ntry Ta	x Identification Number <sup>®</sup>	Identification Type (TIN or Other, please specify)							
1. 2.											
3.											
In case 7	In case Tax Identification Number is not available, kindly provide its functional equivalents.  In case TIN or its functional equivalent is not available, please provide Company Identification number or Global Entity Identification Number or GIIN, etc.  In case the Entity's Country of Incorporation / Tax residence is U.S. but Entity is not a Specified U.S. Person, mention Entity's exemption code here (Refer Instruction No. viii)										
FATO	CA/ CRS Declarati	on									
PAR	T A (to be filled by	Financial Institutions or	Direct Reporting NFEs)								
We are	a,	Global Intermediary Identification	on Number (GIIN)								
Financi OR	al Institution <sup>2</sup>	Note: If you do not have a GIIN but	you are sponsored by another entity, please	e provide your sponsor's GIIN above and indicate your sponsor's name below							
	eporting <sup>4</sup> NFE  tick as appropriate)	Name of sponsoring entity									
`	ot available (please tick	as applicable) Applied	or								
If the e	ntity is a financial institu	ution   Not required to apply for	or - please specify 2 digits sub-categor	y Not obtained - Non-participating FI							
PAR	T B (please fill any	y one as appropriate "to l	oe filled by NFEs other than D	irect Reporting NFEs")							
1	a company whose sh	ly traded company (that is, hares are regularly traded ecurities market). No	Yes (If yes, please specify any Name of stock exchange	one stock exchange on which the stock is regularly traded)							
2	Is the Entity a related traded company (a c are regularly traded securities market).	ompany whose shares on an established	Name of listed company	ne of the listed company and one stock exchange on which the stock is regularly traded)  f the Listed Company or Controlled by a Listed Company							
3	Is the Entity an active Entity (NFE) No		Yes  Nature of Business Please specify the sub-category o	Active NFE (Mention code-refer 2c of Part D)							
4	Is the Entity a passiv Entity (NFE) No	ve <sup>2</sup> Non Financial	Yes (If yes, please fill UBO der	claration in the next section.)							
<sup>1</sup> Refer 2	of Part D   2 Refer 3(ii) of	f Part D   3 Refer 1(i) of Part D   4 Re	efer 3(vi) of Part D								
	ITIONAL KYC INF										
Gross	Annual Income (Rs.) [	Please tick (✓)] ☐ Below 1 ☐ 1 Crore		5 Lacs - 10 Lacs							
	orth (Mandatory for No	,	as on DDM	(Not older than 1 year) *Mandatory							
			ing nature of goods/ services dealt	in)							
	dividual Investors inve eign Exchange/ Money	olved/ providing any of the me Changer Services	ntioned services ng/ Gambling/ Lottery/ Casino Services	Money Lending/ Pawning None of these							
DEC	LARATION										

I/We hereby acknowledge and confirm that the information provided above is/are true, correct and complete to the best of my/our knowledge and belief. In case any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/we shall be liable for it. I/We also undertake to keep you informed immediately in writing about any changes/ modification to the above information in future and also undertake to provide any other additional information as may be required at your end. I/We hereby authorise you to disclose, share, remit in any form, mode or manner, all/ any of the information provided by me/ us, including all changes, updates to such information as and when provided by me/ us to the Mutual Fund, its Sponsor, Asset Management Company, Trustees, their employees, agents/ service providers, other SEBI registered intermediaries or any Indian or foreign governmental or statutory or judicial authorities/ agencies, the tax/ revenue authorities and other investigation agencies without any obligation of advising me/us of the same.

THIS PACE IS MIENTONALLY LEEK, BLANK

# **Declaration Form of Ultimate Beneficial Ownership [UBO]** / **Controlling Persons**



Please read instructions carefully. Please strike off any sections that are not relevant or not applicable.

I. INVESTOR DETAILS:												
Investor Name												
PAN*	* If PAN is not availa	ble, specify Folio No.(s)										
II. CATEGORY												
	Our company is a Listed Company on a recognized stock exchange in India / Subsidiary of a or Controlled by a Listed Company [If this category is selected, no need to provide UBO details].											
Name of the Stock Exchange where it is listed#												
Security ISIN <sup>#</sup>												
Name of the Listed Company (applicable if the inve	estor is subsidiary/associate):											
<ul><li>☐ Unlisted Company</li><li>☐ Partnership Firm / LL</li><li>☐ Private Trust</li><li>☐ Religious Trust</li><li>☐ Trus</li></ul>	Mandatory in case of Listed company or subsidiary of the Listed Company  Unlisted Company Partnership Firm / LLP Unincorporated association / body of individuals Public Charitable Trust Religious Trust Trust created by a Will Others [please specify]											
UBO / CONTROLLING PERSON(S) DE												
Does your company/entity have any individual If 'YES' - We hereby declare that the following in individual(s) are given below.	dividual person holds directly / indirectly con	trolling ownership in our entity above the	prescribed threshold limit. Details of such									
If 'NO' - declare that no individual person (directly position of Senior Managing Official (SMO) are pro-		ur entity above the prescribed threshold lii	mit. Details of the individual who holds the									
	UBO-1 / Senior Managing Official (SMO)	UBO-2	UBO-3									
Name of the UBO/SMO#												
UBO / SMO PAN# For Foreign National, TIN to be provided]												
UBO / SMO Country of Tax Residency#												
UBO / SMO Taxpayer Identification Number / Equivalent ID Number#												
UBO / SMO Identity Type												
UBO / SMO Place & Country of Birth#	Place of Birth	Place of Birth Country of Birth	Place of Birth									
UBO / SMO Nationality	,	,										
UBO / SMO Date of Birth [dd-mmm-yyyy]#												
UBO / SMO PEP#	Yes – PEP Yes – Related to PEP N – Not a PEP											
UBO / SMO Address Type	Residence Business Registered Office											
UBO / SMO Occupation	Public Service	Public Service	Public Service									
SMO Designation#												
UBO / SMO KYC Complied? If not complied, please complete KYC process independently and then submit the proof.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.	Please attach the KYC acknowledgement.									

Note: If the given columns are not sufficient, required information in the given format can be enclosed as additional sheet(s) duly signed by Authorized Signatory.Participating Mutual Fund(s) / RTA may call for additional information/documentation wherever required or if the given information is not clear/ incomplete/ correct and valid declaration should be submitted again with all the required information.

<sup>#</sup> Mandatory column.

<sup>\*\*</sup> In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

#### **DECLARATION**

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/our knowledge and belief. In case any of the above specified information is found to be false, untrue, misleading, or misrepresenting, I/ We am/are aware that I/We may be liable for it including any penalty levied by the statutory /legal/ regulatory authority. I/We hereby confirm the above beneficial interest after perusing all applicable shareholding pattern and MF/ RTA/ other registered intermediaries can make reliance on the same. I/We hereby authorize you [RTA/ Fund/ AMC/ Other participating entities] to disclose, share, rely, remit in any form, mode or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the Mutual Fund, its Sponsor, Asset Management Company, trustees, their employees / RTAs ('the Authorized Parties') or any Indian or foreign governmental or statutory or judicial authorities / agencies including but not limited to the Financial Intelligence Unit-India (FIU-IND), the tax / revenue authorities in India or outside India wherever it is legally required and other investigation agencies without any obligation of advising me/us of the same. Further, I/We authorize to share the given information to other SEBI Registered Intermediaries/or any regulated intermediaries registered with SEBI/ RBI/ IRDA/ PFRDA to facilitate single submission / update & for other relevant purposes. I/We also undertake to keep you informed in writing about any changes / modification to the above information in future within 30 days of such changes and undertake to provide any other additional information as may be required at your / Fund's end or by domestic or overseas regulators/ tax authorities.

		SIGNATURE WITH RELEVANT SEAL						
	Authorized Signatory	Authorized Signatory	Authorized Signatory					
Name: Design		Name: Designation:	Name: Designation:					
Place:								
Date:	D D M M Y Y Y Y							

#### INSTRUCTIONS ON CONTROLLING PERSONS / ULTIMATE BENEFICIAL OWNER

As per PMLA guidelines and relevant SEBI circulars issued from time to time, non-individuals and trusts are required to provide details of controlling persons [CP] / ultimate beneficiary owner [UBO] and submit appropriate proof of identity of such CPs/ UBOs. The beneficial owner has been defined in the circular as the natural person or persons, who ultimately own, control or influence a client and/or persons on whose behalf a transaction is being conducted and includes a person who exercises ultimate effective control over a legal person or arrangement.

#### A. For Investors other than individuals or trusts:

- (I) The identity of the natural person, who, whether acting alone or together, or through one or more juridical person, exercises control through ownership or who ultimately has a controlling ownership interest. Controlling ownership interest means ownership of/entitlement to:
  - more than 10% of shares or capital or profits of the juridical person, where the juridical person is a company.
  - more than 10% of the capital or profits of the juridical person, where the juridical person is a partnership or who exercises control through other means.

    For the purpose of this clause, "Control" shall include the right to control the management or policy decision.
  - more than 15% of the property or capital or profits of the juridical person, where the juridical person is an unincorporated association or body of individuals.
- (ii) In cases where there exists doubt under clause (i) above as to whether the person with the controlling ownership interest is the beneficial owner or where no natural person exerts control through ownership interests, the identity of the natural person exercising control over the juridical person through other means like through voting rights, agreement, arrangements or in any other manner.
- (iii) Where no natural person is identified under clauses (i) or (ii) above, the identity of the relevant natural person who holds the position of senior managing official.

#### B. For Investors which is a trust:

The identity of the settler of the trust, the trustee, the protector, the beneficiaries with 10% or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership.

Provided that in case of a trust, the reporting entity shall ensure that trustees disclose their status at the time of commencement of an account-based relationship or when carrying out transactions as specified in clause (b) of sub-rule (1) rule 9.

#### C. Exemption in case of listed companies / foreign investors

The client or the owner of the controlling interest is a company listed on a stock exchange or is a majority-owned subsidiary of such a company, there is no need for identification and verification of the identity of any shareholder or beneficial owner of such companies and hence exempted from UBO declaration provided other requisite information is provided. Intermediaries dealing with foreign investors' viz., Foreign Institutional Investors, Sub Accounts and Qualified Foreign Investors, may be guided by the clarifications issued vide SEBI circular CIR/MIRSD/11/2012 dated September 5, 2012 and other circulars issued from time to time, for the purpose of identification of beneficial ownership of the client.

#### D. KYC requirements

Beneficial Owner(s) / Senior Managing Official (SMO) is/are required to comply with the prescribed KYC process as stipulated by SEBI from time to time with any one of the KRA & submit the same to AMC. KYC acknowledgement proof is to be submitted for all the UBO(s) / SMO(s).

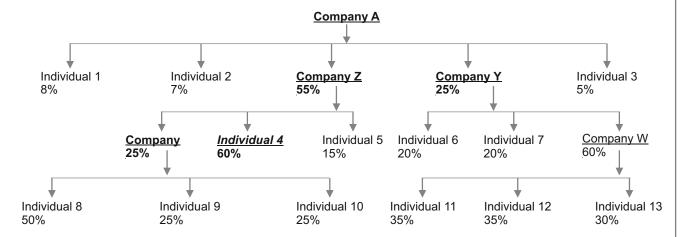
In case of Foreign Nationals, who are not KYC complied, they need to attach the ID proof in English along with the Nationality proof, Address proof again in English. If the documentary proof is in Foreign Language, it should be translated in English and should be attested by Indian Embassy of that country.

## Declaration Form of Ultimate Beneficial Ownership [UBO] / Controlling Persons



#### Sample Illustrations for ascertaining beneficial ownership:

#### Illustration No. 1 - Company A



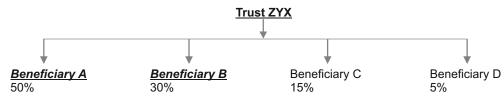
For Applicant A, Individual 4 is considered as UBO as it holds effective ownership of 33% in Company A. Hence details of Individual 4 must be provided with KYC proof, Shareholding pattern of Company A, Z & Y to be provided along with details of persons of Company Y who are senior managing officials and those exercising control.

#### Illustration No. 2 - Partner ABC



For Partnership Firm ABC, Partners 1, 2 and 5 are considered as UBO as each of them holds >=10% of capital. KYC proof of these partners needs to be submitted including shareholding.

#### Illustration No. 3 - Trustee ZYX



For Trust ZYX, Beneficiaries A, B and C are considered as UBO as they are entitled to get benefitted for >=10% of funds used. KYC proof for these beneficiaries needs to be submitted. Additionally, if they have nominated any person or group of persons as Settlor of Trust/ Protector of Trust, relevant information to be provided along with the proof indicated.

## DECLARATION FROM NRIS/ PIOS BASED IN USA/ CANADA



			CLEAR • CREDIBLE • CONSISTENT					
	INVESTOR	R DETAIL	_S:					
Sole U	Jnit Holder Name							
PAN	Application No./ Folio No.		Transaction Date					
Scher	ne Name							
	Terms and	Conditio	ns					
1.	I/We am/are Non Resident Indian(s) ("NRI")/ Person(s) of Indian Origin ("PIO") as per the extant laws of the Republic of India and am/are resident(s) of the United States of America/ Canada.		respect to investments made by me/us and/or any part of it including the changes/ updates that may be provided by me/us to its agents, third party service providers, SEBI registered intermediaries for the purposes of any Indian or foreign statutory, regulatory, judicial, quasi- judicial					
2.	I/We confirm that the transaction(s) in the scheme(s) of TRUST Mutual Fund ("TRUST MF") was submitted by me/us, when I/ We was/ were physically present in India.	9.	authorities/agencies requirements without any intimation/advice to me/us.  I/We hereby agree to provide any additional information/ documentation to					
3.	I/We hereby declare that TRUST MF/TRUST Asset Management Company Ltd.  ("TAMPL") have not solicited subscription in scheme(s) of TRUST MF in any	3.	TAMPL, its agents, employees, registrar etc. that may be required in connection with the investments made by me/us.					
4.	jurisdiction outside India.  I/We hereby declare that TRUST MF/ TAMPL have not marketed/ advertised any scheme(s) of TRUST MF/ TAMPL by any means whatsoever in any jurisdiction outside India.	10.	I/We agree that TRUST MF/ TAMPL/ TRUST AMC Trustee Pvt. Ltd. and/or their directors, officer and employees shall not be liable for any direct, indirect, special, incidental or consequential cost, loss, expenses, damages and claims arising out of, including but not limited to my/our investments or on account of any untrue/ misleading/ incomplete statement/ information provided by me or					
5.	I/We am/are aware that TRUST MF / TAMPL have neither file any of their scheme related documents nor registered their units with any regulator in any jurisdiction/ region except India. The same has also been clearly mentioned in scheme related documents.	11.	rejection of my/our transactions/ reversal of units allotted, in the scheme I/We hereby declare that I/we am/are fully aware of the implications compliance, regulatory and tax related) of making such investment ar am/are taking this investment decision based on the advice of tax consul					
6.	I/We hereby confirm that my/our application for subscription of units of scheme(s) of TRUST MF is in compliance with applicable laws and I am/ We are not prohibited from accessing capital markets under any law/ order/ ruling/judgment etc. in any jurisdiction/by any regulator or authority.	12.	and legal counsel.  I/We hereby declare that the said investment(s) is being made by me/us under my/our free will and no person (directly or indirectly) whatsoever has made any communication/ solicitation/ advertisement/ marketing (active/passive) to me/					
7.	I/We understand and acknowledge that TAMPL reserves that right to accept or reject any transactions and redeem any investments, at their sole discretion and as they may deem fi without assigning any reason thereto.		us for making such investment. In case of any inconsistency being found between the information being provided by me/us in the application form provided by me/us for making investments and the information contained					
8.	I/We hereby authorize TRUST MF/ TAMPL, its employees, its agents, its Registrar to disclose, share, remit in any form/ manner/ mode information with		herein, the contents of this declaration shall prevail.					
Signa	tures and Name of Holders							
	Sole/First Holder Signature Second Holder	Signature	Third Holder Signature					
	Name of First Holder Name of Sec	ond Holder	Name of Third Holder					

Mutual Fund investments are subject to market risks, read all scheme related documents carefully.

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